

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:	Kenneth J. Burger et al.	:	Date: September 30, 2003
Group Art Unit:	Unassigned	:	IBM Corporation
Examiner:	Unassigned	:	Intellectual Property Law
Serial No.:	Unassigned	:	Dept. 917, Bldg. 006-1
Filed:	Herewith	:	3605 Highway 52 North
Title:	NORMALIZING RECORDS	:	Rochester, MN 55901-7829

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

Serial No. Unassigned  
Docket No. ROC920030304US1


PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(1) within three months of the filing date of the present application. Accordingly no filing fee is required.


Date: September 30, 2003

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Susan K. Berge

Respectfully submitted,

By 

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PTO/SB/08A

Application Number.: UnassignedFiling Date: Herewith**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**First Named Inventor: Kenneth J. BurgerArt Unit: UnassignedExaminer Name: Unassigned

Sheet 2 of 2

Attorney Docket Number.: ROC920030304US1**OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and or country where published.	T <sup>2</sup>
		M.E. MILLER et al, Case-Based Reasoning System for an Executive Briefing Book, IBM Technical Disclosure Bulletin, Vol. 34, No. 4A, page 380-381, September 1991.	
		A. KOSMYNIN, An Information Broker for Adaptive Distributed Resource Directory Service, INSPEC Abstract Number: C9707-7210-024, WebNet96 –World Conference of the Web Society, Proceedings p. 261-6, Assoc. Adv. Comput. Educ, 1996.	
		J. HONKELA et al., GS Textplorer- Adaptive Framework for Information Retrieval, INSPEC Abstract Number: C2003-04-7250R-092, Proceedings of SIGIR 2002, Twenty-Fifth Annual International ACM SIGIR Conference on Research and Development in Information Retrieval, p. 456, 2002.	

Examiner Signature		Date Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional).

<sup>2</sup> Applicant is to place a check mark here if English language translation is attached.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 1 of 2

Application Number.:

Filing Date:

First Named Inventor:

Art Unit:

Examiner Name:

Attorney Docket Number.:

UnassignedHerewithKenneth J. BurgerUnassignedUnassignedROC920030304US1**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	<u>Document Number</u> Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD- YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US 5,870,737	02-09-1999	Dockter et al.	
		US 2001/0037212 A1	11-01-2001	Motosuna et al.	
		US 2001/0054008 A1	12-20-2001	Miller et al.	
		US 2002/0035501 A1	03-21-2002	Handel et al.	
		US 2002/0062241 A1	05-23-2002	Rubio et al.	
		US 2002/0065813 A1	05-30-2002	Scanlon et al.	
		US 2002/0077857 A1	06-20-2002	Seelinger	
		US 2003/0009392 A1	01-09-2003	Perkowski	
		US 2003/0009430 A1	01-09-2003	Burkey et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u> Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		JP 2002135263A	05-10-2002	Koichi et al.		
		JP 2002334083A	11-22-2002	Tadashi et al.		
		WO 0131537A2	05-03-2001	Katz et al.		
		WO 02063535A2	02-20-2003	Swanson		

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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.